

J.K.R. & CO. INC.

Broker / Dealer • Investment Counselor

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JKR & COMPANY, INC. Business Continuity Plan (BCP) Disclosure 09.17.2014

In JKR & Company's BCP we have covered all the aspects of our operation in which we believe are necessary in case of an emergency. A good deal will depend on the ability to stay in touch with our clearing firm – Pershing LLC - and/or Pershing to stay operational for us to conduct business.

In an emergency JKR employees will do everything we can to fulfill our clients needs, maintain their accounts and keep them informed on how they will be able to make a trade and offer them monies as promptly as possible. Customers' funds or securities are maintained at our clearing firm, Pershing LLC. In the event of SBD (Significant Business Disruption) and if telephone service is available, our registered reps will take customer orders or instructions and contact our clearing firm on their behalf. If our web access is available, our firm will post on our web site how customers may access their funds and securities by contacting Pershing at 201-413-3653; fax 201-413-2373 and an alternative telephone of 213-624-6100 ext. 500.

JKR & Company, Inc. does intend to stay in business during an emergency situation. If the emergency relates to a life-threatening situation, all offices would inform each other they must discontinue business at their location and request that other offices not in danger, oversee client accounts.

All offices of JKR intend to be back in business as soon as possible after all emergency situations are cleared to return to the physical location. Recovery-time objectives are not, however, hard and fast deadlines that must be met in every emergency situation, and various external factors surrounding a disruption, such as time of day, scope of disruption, and status of critical infrastructure—particularly telecommunications—can affect actual recovery times.

We will communicate with the regulators to inform them of our firms' obligation to grant clients to access their accounts through methods that are available and reliable to us or inform them of our motions. In the event that we cannot contact our regulators, we will continue to file required reports using communication means available to us - telephone, fax, e-mail.

Our firm's books and records are backed up weekly by an outside vendor and also kept on a monthly basis by our bookkeeper.

Rule: FINRA Rule 4370(e).